

Evaluation of Unconventional Water Resources Based on Knowledge Granularity

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Abstract: Water resources are important natural resources and play a key role in economic development and social life. China is one of the countries with the most serious water shortage in the world. In the next few decades, the contradiction between supply and demand of water resources will always plague China. Increasing the use of unconventional water resources to mitigate the water crisis is necessary way to achieve a sustainable development. There has been no effective standard yet in China to evaluate the exploitation level and potential of unconventional water resources. This study describes the current status of the exploitation and aims at establishing an evaluation system incorporating the three relevant factors, namely, driving, restrictive, and risk factors respectively, and provides a theoretical basis for the management of unconventional water resources in China. In order to mine the relationship between data and evaluation indexes, the rough set theory is adopted to determine the weight and objectively reflect the importance of each evaluation index. On the basis of the evaluation system, relevant data from 2006 to 2017 in Beijing were selected, and the results show that the urgency of unconventional water resources development in Beijing was generally on the rise with a small fluctuation range.

Keywords: unconventional water resources (UWR); rough set; knowledge granularity

1 Introduction

Water resources play a key role in people's daily life and social development. In recent years, water shortage is becoming a serious problem in China with the rapid development of Chinese economy. From the western countries' experience in coping with the water resources crisis[1], the development and utilization of unconventional water resources is an important way to make up the shortage of water resources in China. Unconventional water resources mainly include rainwater resources, reclaimed water resources, seawater, brackish water and other water resources, which are different from surface water and groundwater in general

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sense [2-4]. As the contradiction between limit supply and vast demand of water resources intensifies, the Chinese Ministry of Water Resources issued the guidance on integrating unconventional water sources into the unified allocation of water resources in 2017, trying to further improve the utilization efficiency of regional water resources by improving the development and utilization of unconventional water resources, including reclaimed water, rainwater, brackish water and desalinated seawater. It is particularly important to evaluate the present situation and development trend of unconventional water sources scientifically.

At present, there are many studies on evaluation methods of unconventional water resources utilization, but no unified standard and recognized method has been formed so far. Scholars usually use analytic hierarchy process (AHP) or principal component analysis (PCA) to establish and conduct analysis. For example, Bojan (2008) used Fuzzy AHP method to evaluate water resources in some areas of the the Paraguacu River Basin, Brazil [5]; Jung et al (2016) used PCA method in the evaluation of water situation in the Naidong river basin [6]. The similarity between these two methods is establishing the evaluation index system first, then determining the weight level according to the expert's rating of the importance of each index, and finally bringing the weight into the evaluation. Another method to evaluate water resources utilization is using rough set theory. As early as in 2002, Pawlak proposed the concept of knowledge granularity deduced it theoretically [7]. In terms of basin water resources allocation and evaluation of future water resources, many scholars put forward a new theoretical framework based on the rough set theory. For example, Zeng et al. (2006) provided a new idea for water resources allocation in arid areas based on the conflict analysis method of rough set [8], which avoids too much reliance on the experts' experience comparing with AHP and PCA [9]. The greater the amount of information, the more objective the attribute weight was.

This study aims to establish an evaluation system on rough set by determining weight based on the data from 31 provinces and cities in China, and then evaluates the usage of unconventional water resource in city of Beijing.

2 Methods

This paper adopts the method based on the knowledge granularity of rough set to establish an evaluation system. There are three main steps. The first step is to select appropriate evaluation index from the actual data, then divide the selected evaluation indicators according to the actual situation reasonably, and finally calculate the importance of the index and determine the weight.

2.1 Building Evaluation Index System

Utilization of unconventional water resources is a multi-objective issue which is coherent with natural, social, economic factors, and so on. This study attributes the water resources utilization usage to driving factors, restrictive factors and risk factor [3]. These three subsystems depend on influence and restrict among them. In order to fully consider the driving factors of unconventional water resources utilization from both the supply and demand sides. On the supply side is investigated. The guarantee rate of conventional water supply is low due to the limitation of endowment conditions of the regional water resource, while the volume of the unconventional water resources can be large and stable. From the perspective of the demand side, the increased water resource gap can provide stable customers for unconventional water resources, and relevant policies and regulations also guarantee the usage of unconventional water resources.

The constraints for unconventional water resources development and utilization include many complicated factors, such as natural, social and economic factors on the influence degree of exploitation and utilization of reclaimed water in different through literature survey and field survey.

The constraint for unconventional water resources development and utilization are mainly divided into engineering conditions, economic conditions, technological level, policies, regulation constraints of ecological environment, as well as social acceptance constraints. The constraints of engineering conditions involve the quantity and quality of water resources, the completeness of supporting pipe network, corrosion resistance of the water supply facilities, etc. Economic constraints involve local economic development level, project investment, water production cost, etc. Technical level constraints include treatment technology and utilization of technical measures. Risk factor involves human health, ecological environment, facilities and equipment, the three links of production, transmission, and distribution, as well as the use of reclaimed water. After trawling through the relevant literature and combining the current situation of water resource utilization of China in recent years, the indicators are preliminarily selected in each subsystem, and the results are shown in Table 1. [10-16]

Table 1. Three rule layers and the criteria, index, and units.

Rule Layer	Criteria	Index	Unit	
Driving factors	Water endowment	Water resources per capita C1	m ³ /person	
		Water production coefficient C2	%	
		Runoff modulus C3	10 m ³ /km ²	
		Rainfall C4	mm	
	Utilization of conventional water resources	Utilization rate of surface water C5	%	
		Groundwater recovery rate C6	%	
		Urban per capita domestic water C7	L	
		Rural per capita living water C8	L	
		GDP per water consumption C9	m ³ /10 ⁴ Yuan	
Restrictive factors	Engineering conditions	The ratio of unconventional water supply pipeline to municipal pipeline C10	%	
	Economic conditions	Urbanization level C11	%	
		Average GDP C12	Yuan	
		New investment in factories accounted for the share of public investment C13	%	
	Technological level	The cost of unconventional water treatment C14	Yuan/t	
		Treatment process and utilization measures C15	qualitative analysis	
		Policies and regulations	Regulations and policy standards C16	qualitative analysis
			Constraints of ecological environment	Forest coverage rate C17
	The proportion of ecological environment water in total water consumption C18	%		
Soil and water conservation C19	qualitative analysis			
Social acceptance constraints	Average age of education C20	year		
Risk factor	Human health, ecological environment	Human and environmental impacts C21	qualitative analysis	

2.2 Partition of Index Ideal Set

Before evaluating the development potential of regional unconventional water resources, it is necessary to reasonably divide the selected evaluation indicators according to the actual situation of China and the characteristics of various regions. Therefore, we first investigate the selection of evaluation index in the general scope of the home and abroad, on the basis of its unconventional water resources development has a positive impact. The target ideal set is

ranked by the levels from I to V, which represent the impact on unconventional water resources development from negative to positive. If the score reaches 5 in some areas, it means urgent need of developing unconventional with huge potential for development and utilization of water resources.

The standards of the unconventional water resources are shown in Table 2. [10-16]

Table 2. Ideal set of index.

Index	I	II	III	IV	V
C1	>2000	1500-2000	1000-1500	500-1000	<500
C2	>65	40-65	20-40	10-20	<10
C3	>45	20-45	10--20	5-10	<5
C4	>1600	1000-1600	600-1000	200-600	<200
C5	<30	30-50	50-70	70-90	>90
C6	<30	30-50	50-70	70-90	>90
C7	>230	180-230	130-180	100-130	<100
C8	>85	75-85	65-75	50-65	<50
C9	<50	50-150	150-250	250-350	>350
C10	<2	2-3	3-4	4-5	>5
C11	<55	55-65	65-75	75-85	>85
C12	<5000	5000-10000	10000-30000	30000-50000	>50000
C13	<4	4-6	6-8	8-10	>10
C14	>6	5-6	4-5	3-4	<3
C15			qualitative analysis		
C16			qualitative analysis		
C17	<10	10-30	30-50	50-60	>60
C18	<5	5-10	10-15	15-30	>30
C19			qualitative analysis		
C20	<8	8-9	9-10	10-12	>12
C21			qualitative analysis		

2.3 Rough set

Rough set theory was put forward by the Polish scholar Pawlak, and then got great development in data processing and artificial intelligence. By adopting rough set theory, the problem of uncertainty can be handled objectively only by internal relation between data. In the field of water resources evaluation, the relationship between data and evaluation indexes can be mined through rough set theory, which can objectively show the importance of each evaluation index, so as to avoid the problem of relying too much on expert experience [17-19].

For a set of information system, based on rough set theory, we can partition it by the attributes set X or a Single evaluation attribute x . The importance of evaluation attribute x itself and the overall attributes can be calculated using $Sig_x(X)$ and $Sig(x)$. The evaluations of attribute weights can be calculated respectively with the importance of evaluation theory. The method can be effectively implemented by data mining through the inner link of the specific way of calculation, shown as follows:[9]

$$Sig_x(X) = 1 - \frac{|XY(x)|}{X} \tag{1}$$

$$Sig(x) = 1 - \frac{|\{x\}|}{(Card(U))^2} \tag{2}$$

$$SIG(x) = Sig_x(X) + Sig(x) \tag{3}$$

Among which, $U/X = \{X_1, X_2 \dots, X_n\}$, $|X| = \sum_{i=1}^n (Card(X_i))^2$. $SIG(x)$ reflects the ultimate attribute importance of the index.

3 Analysis and Evaluation

This paper analyzes the internal relationship of indicator data to obtain the importance of each indicator, and normalizes the weight of each indicator. It takes Beijing city as a case to verify the effectiveness and feasibility of this method, which provides a theoretical basis for determining the weight of non-conventional water resources evaluation index more objectively, rationally and accurately.

3.1 Data

In this study, the year 2015 was taken as the base year, and the 31 major provinces and cities were selected as the research objects to obtain the index weights in line with the development of unconventional water resources in China. The evaluation index data are from the National Bureau of Statistics Data. The specific data is shown in Table 3.

Table 3. The actual data of 31 major provinces and cities.

	C1	C2	C3	C4	C5	C6	C7	C8	C9	C10	C11	C12	C13	C14	C17	C18	C20
U1	143.85	28	16.23	503.59	100	113	183.81	85.79	16.29	5.4	0.87	106497	1	3.83	42	19.2	11.85
U2	106.90	17	10.78	510.59	183	109	119.58	88.53	14.57	6.6	0.83	107960	2	5.51	10	8.7	10.50
U3	210.00	14	7.20	455.54	92	125	119.13	58.84	64.95	3.4	0.51	40093	5	3.28	31	2.6	8.87
U4	299.78	12	6.01	525.58	61	41	112.44	57.30	55.93	3.5	0.55	34919	8	4.06	18	4.8	9.30
U5	1756.88	18	4.54	258.42	22	40	106.71	47.33	102.07	7.2	0.60	71101	3	3.88	21	7.9	9.00
U6	683.81	17	12.12	659.00	53	70	135.50	79.19	49.46	1.2	0.67	65354	9	4.94	38	3.5	9.91
U7	1444.03	28	17.61	635.00	32	35	122.27	62.88	94.57	0.4	0.55	51086	11	5.77	40	2.7	9.37
U8	1974.93	33	17.94	541.11	29	59	116.34	65.78	241.39	0.4	0.59	39462	4	7.72	43	0.4	9.35
U9	169.84	86	100.91	1223.82	192	1	190.19	93.04	41.27	0	0.88	106009	3	5.46	11	0.8	10.82
U10	584.38	57	56.10	1006.88	124	7	210.66	81.86	84.33	0.6	0.67	87995	6	6.58	16	0.5	9.35
U11	1920.85	78	137.11	1622.40	14	1	196.18	89.41	44.98	0.1	0.66	77644	8	7.41	61	2.7	9.06
U12	1242.68	56	65.46	1200.10	28	16	168.90	73.91	123.65	0.2	0.51	35997	3	2.98	29	1.7	8.73
U13	3321.97	63	108.75	1698.09	15	2	176.93	86.42	79.14	0.4	0.63	67966	4	4.10	66	1.6	8.79
U14	3565.35	71	119.88	1584.01	13	2	171.28	81.17	155.05	0.8	0.52	36724	3	4.88	63	0.8	8.88
U15	313.78	15	10.70	696.22	144	65	138.47	75.28	34.05	2.4	0.57	64168	4	4.89	17	2.7	8.98
U16	423.13	24	23.05	746.45	47	69	111.07	59.43	56.56	1.3	0.47	39123	9	3.48	24	2.7	9.00
U17	1738.05	49	54.63	1171.26	28	3	205.32	70.64	97.56	0	0.57	50654	6	4.33	38	0.2	9.11
U18	2625.19	64	90.61	1367.86	16	4	207.79	79.28	115.01	0.5	0.51	42754	7	4.65	48	0.8	9.02

U19	1843.02	60	107.58	1846.38	22	3	248.95	90.52	60.77	0	0.69	67503	19	4.65	59	1.2	9.28
U20	4021.99	68	102.62	1315.79	12	2	255.65	82.65	183.06	0	0.47	35190	3	6.24	57	0.8	8.75
U21	4090.04	27	56.44	1062.11	21	6	263.78	79.28	121.53	3.3	0.55	40818	42	3.46	55	0.4	9.10
U22	1835.38	51	55.30	1146.64	17	1	151.97	76.32	51.22	0.6	0.61	52321	2	7.45	45	1.1	8.96
U23	2970.88	49	45.77	926.60	10	3	204.13	65.81	78.83	0	0.48	36775	4	6.04	35	1.8	8.35
U24	2678.92	62	65.49	1125.96	8	1	163.78	72.12	90.74	0.2	0.42	29847	7	3.26	37	0.7	8.09
U25	4139.72	44	47.50	1002.95	8	1	132.77	85.04	109.70	1.6	0.43	28806	3	5.24	50	1.3	7.79
U26	149370.12	55	31.45	594.52	1	0	403.62	62.10	297.16	0	0.28	31999	0	7.06	12	0.2	4.22
U27	1078.65	23	16.20	735.14	18	28	155.71	65.24	49.83	2.2	0.54	47626	4	6.10	41	2.8	9.14
U28	934.61	17	4.47	394.29	47	28	132.00	49.51	182.12	1.9	0.43	25517	6	4.12	11	1.5	8.32
U29	12786.95	24	8.17	343.78	4	1	168.76	62.99	108.81	2.3	0.50	41252	25	5.05	6	1.5	8.04
U30	157.48	6	1.47	278.70	911	26	171.67	59.60	240.18	122.70	0.55	44035	3	3.94	12	3.3	8.55
U31	4454.61	33	5.59	175.46	51	24	170.55	62.57	623.93	3.2	0.47	40036	4	3.59	4	0.9	9.18

Note:

Code	Name	Code	Name	Code	Name	Code	Name
U1	Beijing	U9	Shanghai	U17	Hubei	U25	Yunnan
U2	Tianjin	U10	Jiangsu	U18	Hunan	U26	Tibet
U3	Hebei	U11	Zhejiang	U19	Guangdong	U27	Shaanxi
U4	Shanxi	U12	Anhui	U20	Guangxi	U28	Gansu
U5	Inner Mongolia	U13	Fujian	U21	Hainan	U29	Qinghai
U6	Liaoning	U14	Jiangxi	U22	Chongqing	U30	Ningxia
U7	Jilin	U15	Shandong	U23	Sichuan	U31	Xinjiang
U8	Heilongjiang	U16	Henan	U24	Guizhou		

3.2 Determination of the Index Weight

Based on the calculation methods above, we can calculate $Sig_x(X)$, $Sig(x)$, and $SIG(x)$. After normalizing $SIG(x)$, the weights are obtained and the results are shown in Table 4. After trawling through the relevant literature and combining the current situation of water resource utilization of China in recent years, the weights of the three Rule Layer are 0.4,0.4,0.2.

Table 4. The calculating results of rough sets ($Sig_x(X)$, $Sig(x)$, $SIG(x)$), and weight.

Rule Layer	Index	$Sig_x(X)$	$Sig(x)$	$SIG(x)$	Weight	Overall Weight
Driving factors	C1	0.75	0.06	0.81	0.13	0.051
	C2	0.74	0.00	0.74	0.12	0.047
	C3	0.68	0.00	0.68	0.11	0.043
	C4	0.72	0.06	0.78	0.12	0.049
	C5	0.59	0.00	0.59	0.09	0.037
	C6	0.51	0.00	0.51	0.08	0.032
	C7	0.71	0.06	0.77	0.12	0.048
	C8	0.77	0.06	0.83	0.13	0.053
	C9	0.62	0.00	0.62	0.10	0.039

	C10	0.54	0.00	0.54	0.08	0.032
	C11	0.67	0.00	0.67	0.10	0.039
	C12	0.58	0.00	0.58	0.08	0.034
	C13	0.70	0.00	0.70	0.10	0.041
Restrictive factors	C14	0.76	0.00	0.76	0.11	0.044
	C15	0.66	0.00	0.66	0.10	0.039
	C16	0.64	0.00	0.64	0.09	0.037
	C17	0.72	0.06	0.78	0.11	0.045
	C18	0.18	0.00	0.18	0.03	0.010
	C19	0.72	0.00	0.72	0.10	0.042
	C20	0.63	0.00	0.63	0.09	0.037
Risk factor	C21		-		1	0.2

3.3 Evaluation result

On the basis of overall weight, we selected the related data of Beijing from 2006 to 2017 in Table 5. The overall weight of the index layer obtained above (Table 4) is multiplied by the rating of each index to obtain the final evaluation result in Table 6. According to the evaluation results, the urgency of unconventional water resources development in the Beijing show an overall upward trend, with a small range of fluctuations. The reasons can be elaborated as follows: The utilization of water resources in Beijing still aims at economic orientation at present, and due to the further aggravation of water shortage, the demand for the development and utilization of unconventional water resources is increasing. The urgency of unconventional water development was eased in 2012 and 2016 when rainfall was abundant and the increase in conventional water resources made up for the shortfall in water demand. It is also in line with the current national incentive to use unconventional water resources.

Table 5. The actual data about utilization of UWR in Beijing from 2006 to 2017.

	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
C1	22.10	23.81	34.20	21.80	23.10	26.81	39.50	24.80	20.30	26.80	35.10	29.80
C2	23	25	36	23	25	28	42	26	22	28	37	32
C3	13.39	14.42	20.71	13.20	13.99	16.24	23.93	15.02	12.30	16.23	21.26	18.05
C4	318.00	483.90	626.30	480.60	522.50	720.60	733.20	578.90	461.50	458.60	669.10	592.00
C5	96	75	46	106	100	78	44	88	143	113	81	103
C6	63	67	93	69	75	83	106	77	70	96	138	123
C7	120.70	107.00	99.65	75.21	83.35	85.06	89.50	89.10	92.58	85.79	92.54	92.00
C8	154.70	166.80	187.22	192.10	174.92	172.62	171.79	196.85	187.52	183.81	173.10	188.00
C9	41.26	34.56	30.81	28.59	24.94	21.66	20.08	18.38	17.58	16.60	15.12	14.11
C10	2	2	3	3	3	4	5	4	5	5	6	6
C11	84	85	85	85	86	86	86	86	86	87	87	87
C12	52964	61470	66098	68406	73856	81658	87475	94648	99995	106497	118198	128927
C13	1	1	1	1	1	1	1	1	1	1	1	1
C14	4.30	4.30	4.20	4.20	4.10	4.10	4.10	3.90	3.90	3.83	3.80	3.80
C17	35.90	36.50	36.50	36.70	37.00	37.60	38.60	40.10	41.00	41.60	35.84	35.84

C18	9	9	9	10	11	13	16	16	18	19	19	32
C20	11.00	11.00	11.00	11.00	11.00	11.55	11.00	11.00	11.00	11.85	11.00	11.00

Table 6. Evaluation level in Beijing from 2006 to 2017.

Year	2006	2007	2008	2009	2010	2011
Evaluation level	3.41	3.41	3.35	3.50	3.61	3.60
Year	2012	2013	2014	2015	2016	2017
Evaluation level	3.51	3.70	3.77	3.91	3.78	3.92

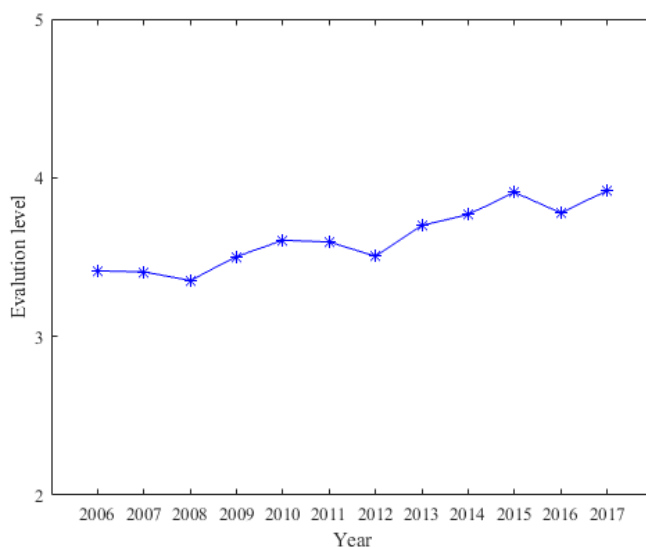


Fig. 1. Evaluation level of Beijing from 2006 to 2017.

4 Conclusions

Unconventional water resources utilization is an important way to make up for the shortage of water resources in China. At present, there are many researches on the evaluation methods of unconventional water resources utilization, but no unified standard and recognized methods have been formed. In the evaluation of unconventional water resources, the determination of index weight is the key to influence the evaluation result. Whether the weighting method is reasonable and whether the weighting result is objective directly affects the accuracy and validity of the evaluation result.

The evaluation of unconventional water resources was studied based on the rough set theory, to determine the rule layer internal index weight, which can objectively reflect the study area the intrinsic relationships between each evaluation index. Moreover, by means of the incorporation of expert experience on the basis of the actual development situation to determine the overall weight rule layer, the evaluation system can accurately reflect the objective conditions and effectiveness.

The 31 provinces were used as the object of evaluation, then the data processed by the weighted method based on the knowledge granularity of rough set, and the attribute importance of rough set knowledge granularity for unconventional water resources

evaluation index system is derived from the objective original data of real sample land, from which the correlation and importance of each index are excavated. On the basis of overall weight, relevant data from 2006 to 2017 in Beijing were selected, and the results show that the urgency of unconventional water resources development in Beijing was generally on the rise with a small fluctuation range. The evaluation results are in good agreement with the actual situation.

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