New 2019 Risk-Targeted Ground Motions for Spectral Design Criteria in Indonesian Seismic Building Code

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Abstract. Indonesia has followed development of new seismic design criteria in the new seismic building codes, from hazard-based in the former SNI-03-1726-2002 to the current risk-based SNI-1726-2012. The major changes in SNI-1726-2012 are using Risk-Targeted Maximum Considered Earthquake (MCE_R) Spectral Response Acceleration maps. Five years later (2017), the seismic hazard maps have been updated adopting the most recent data and current state of knowledge in probabilistic and deterministic seismic hazard assessment methodologies. To establish the New 2019 Risk Targeted Ground Motion (RTGM) of spectral acceleration (S_s and S₁), and risk coefficients (C_{Rs} and C_{R1}), for both short (T=0.2s) and 1-second (T=1s) periods, respectively have been developed based on the 2017 Indonesian hazard maps. The RTGM was calculated as the spectral value resulting in 1% probability of building collapse in 50 years through numerical integration of hazard curves and structural capacity. The log-normal standard deviation (β) of the structural capacity envelope has been revised from 0.70 to 0.65. This paper presents the new resulted RTGM maps. Furthermore, the paper also presents revision of seismic amplification factors for 0, 0.2, and 1 second periods (F_{PGA}, F_a, and, F_v) to generate ground surface maximum and design spectra associated with the site-classifications.

1 Introduction

Many areas in Indonesia are highly potential to seismic hazards as it is located within the convergence of four tectonic plates: Eurasian, Indian-Australian, Pacific and the Philippine plates. The interaction between these plates has turned many areas, for example, Sumatra, Java, Sulawesi, Nusa Tenggara, and Papua into risky spot.

In fact, almost everyday relatively small earthquake occurs in Indonesia. Several relatively large to very large earthquakes have occurred in the last two decades that have caused the failure of the structure and the death of people.

It infers us to enhance the regulation continously considering that structural design under earthquake must be the vital factor of the future building demands. Significant effort must be pointed to the development of the seismic design map regulation with adopting the quality improvement of new seismic source data and using the most current methodology.

Prior to 2012, the seismic design criteria for buildings in Indonesia (SNI-1726-2002) is out of date after significant earthquakes hit Indonesia during the year 2000 to 2010. Moreover, the map was purely calculated based on seismic hazard and using ground motion spectral accelerations of 10% probability of being exceeded (PE) in 50 years or having 500 years of return period.

Eventually, Indonesia has replaced successfully the previous code by SNI-1726-2012 providing ground motion values having a 2% probability of being exceeded in 50 years or having spectral acceleration for 2475 years of return period.

The significant issues of this updated code are not only changing the return period but also accounting uncertainty of a collapse capacity of structures. A method, which determine the risk has been used by implementing direct integral to calculate risk-targeted ground motions (RTGM) of 1% probability of building collapse in 50 years that is derived by integrating 2% PE in 50 years hazard curves of the New 2010 Indonesian seismic hazard with fragility curve of Indonesian buildings defined to have 10% probability of collapse. This follow the seismic design criteria developed for United States of America by Luco et al. (2007), that has been adopted in ASCE-SEI-7-10. Furthermore, the SNI-1726-2012 adopted three seismic design maps for response spectral at T=0s (PGA), short (T=0.2s), and long (T=1s) periods refer to ASCE-SEI-7-10.

Five years later after launching the 2012 code (2017), the seismic hazard maps have been enhanced. The 2017 seismic hazard maps are updated by considering as follows:

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- 1. Quality improvement with updating, adding, finding, and identifying new seismic sources of geological, geodetic, seismology & instrumentation, ground motion prediction equation, and seismic hazard analysis aspects.
- 2. New information regarding the identification of active faults with a significant number. The information covers both active faults that have not been appropriately quantified during the preparation of the 2010 Indonesia Earthquake Hazard Map and new active faults that are quantified better based on field research through trenching, carbon dating, epicenter relocation, and strain analysis with geodetic data.
- Availability of more topographic data with better resolution, namely SRTM-30, IFSAR, and LIDAR topographic data
- 4. The use of a more complete and more accurate earthquake catalogue by updating the earthquake catalogue until 2016 that relocated with 3D-speed models, and comprehensive earthquake background source.

In order to find out the effect of the enhancement, this paper tend to present the comparison of design spectra, ground amplification, RTGM and risk coefficient between SNI-1726-2012 and proposed SNI-1726-2019 at several cities in Indonesia.

2 New 2017 Probabilistic Maximum Considered Earthquake Hazard of Indonesia

The new 2017 Indonesian seismic hazard maps have been produced and published using concepts deterministic (Deterministic Seismic Hazard Analysis/DSHA) probabilistic (Probabilistic and Seismic Hazard Analysis/PSHA). The Indonesian seismic hazard maps 2017 is presented in the form of peak ground acceleration (PGA) and spectral response accelerations for short period (0.2 sec) and for 1-second period. For the purposes of RTGM development, a combination of PSHA with 2,500-year return period and 84th percentile DSHA have been conducted.

The DSHA was conducted in four stages (Kramer, 1996); (1) identification and characterization of all earthquake sources capable of producing significant ground motion at the site including source locations and geometry, focal mechanisms, earthquake history, and earthquake recurrence relations, (2) determination of earthquake parameters for certain scenario such as maximum magnitude and closest distance to the site, (3) selection of the controlling earthquake that is generally expressed in term of ground motion parameters, (4) calculation of seismic design parameters such as peak acceleration, peak velocity, and response spectrum ordinates that is usually selected as the worst case scenario.



(b)

Fig. 1. (a) Map of Spectral Acceleration at T = 0.2s of Indonesia for 2% probability of exceedance in 50 year, and (b) Map of Spectral Acceleration at T = 1.0s of Indonesia for 2% probability of exceedance in 50 year (Irsyam et al., 2017)

The PSHA considers earthquake magnitude distribution, distances distribution to earthquake sources, and ground accelerations. Following formulation shows annual probability value of maximum ground acceleration, q_y , due to earthquakes with magnitude, M, more significant than the specific value of 'y' (Cornell, C.A., 1968)

$$q_y = P(Y_{max} > y) \tag{1}$$

The higher value of 'y' implies a lesser value of q_y , and expected return period, T, from this earthquake can be derived through the following equation:

$$T = \frac{1}{q_y} \tag{2}$$

Since this method is based on the probabilistic approach, periodic update due to earthquake events that continuously occur need to be made. Indonesia, through the National Center for Earthquake Studies (PuSGeN), updated the seismic hazard maps in 2017. The maps are defined as Probabilistic Maximum Considered Earthquake (MCE) which is a predicted maximum acceleration having a 2% probability of being exceeded in 50 years (2475 years of return period) for short (T=0.2s) and long (T=1.0s) periods, as presented in Fig.1.

The critical change in the new 2017 Indonesian seismic hazard map is the revised active fault database as the latest research results by the PuSGeN, (Irsyam et al., 2017). It includes the addition of newly identified active faults, revisions of the locations of previously known active fault traces, as well as improved estimates of maximum magnitudes and slip rates. The historical earthquake events used magnitude, M_w , ≥ 4.5 occurring in and around Indonesia from 1900 to August 2016 are merged from many sources such national and international institutions with the total number of earthquakes more than 51,000 events. This earthquake events have been relocated and named the PuSGeN catalog.

The GMPE that also updated for the development of the Indonesian seismic hazard map for 2017, such as for shallow crustal fault and shallow background sources models has been used the GMPE the latest study that is: Boore-Atkinson NGA West-2 (2014), Campbell-Bozorgnia NGA West-2 (2014) and Chiou-Youngs NGA West-2 (2014), which is an update from GPME 2008 by its researchers, respectively. As an addition, the new GMPE such as BC Hydro (Abrahamson et al., 2014) also used for the subduction source model. The above changes which are input parameters for seismic hazard analysis (SHA) have affected the hazard value, especially in areas where there are additional faults. This new hazard map has also been analyzed using two software namely PSHA from USGS and OpenQuake from Global Earthquake Model (GEM), where the hazard maps previously only use USGS software.

3 Risk Targeted Ground Motion

Several studies show that earthquake resistance structures, which were designed using a similar hazard,

give different response or risk (e.g., Liel et al., 2015; Luco et al., 2007; and Porter et al., 2007). The behavior of structure resistance is random following lognormal distribution (Luco et al., 2007).

The use of sections to divide the text of the paper is optional and left as a decision for the author. Where the author wishes to divide the paper into sections the formatting shown in Table 2 should be used. In general, the following equation shows the probability of failure from the structure (or risk) caused by the earthquake (Luco et al., 2007):

Risk, Pf =
$$\int_0^\infty f_R$$
 (a) f_{E_m} (SA>a) da (3)

$$f_R(a) = \frac{1}{a\beta\sqrt{2\pi}} \exp\left[-\frac{(\ln a - (\ln(\mathrm{RTGM}) + 1.28\beta))^2}{2\beta^2}\right]$$
(4)

Where $f_{E_m}(a)$ and $f_R(a)$ are hazard curve and structural capacity against the earthquake load, respectively, which are presented in **Error! Reference source not found.**



Fig. 2. Illustration of Hazard Curve and Structural Capacity Curve

3.1 Notes on Ground Motion Maximum Direction of Response

As described in Whittaker (2009) and FEMA/NEHRP, most GMPEs are defined in terms of average (geometric mean) horizontal response. Structures need to be designed to resist ground motions in maximum direction of response. Maximum direction was adopted as the ground motion intensity parameter for use in seismic design for the purpose of explicit consideration of directional effects. It has been identified through many researches that maximum response in the horizontal plane is greater than average response by a certain amount. This response also varies with period. As described in Huang et al. (2007) that maximum response may be reasonably estimated by factoring average response by period dependent factors.

Additionally, the collapse of structure occured due to the effect of two direction horizontal movement (Kicher, 2009). This issue has been accomodated with applying directionality factor for each period of interest. The current seismic building code of Indonesia adopts directionality factors of 1.05 and 1.15 for short (T=0.2 sec) and long (T=1.0 sec) periods, respectively. Since this factors could be considered as general for maximum direction of response of structures which are independent of geographical condition.

Whilst FEMA/NEHRP and Whittaker (2009) suggest the directionality factors of 1.1 at short periods and 1.3 at a period of 1.0 second. These factors are adopted in this study.

3.2 Development of RTGM Computation for Indonesia

Since Indonesia has lesser data of reliable motion records, then the structural capacity curve and the lognormal-standard-deviation (β) is not able to be defined explicitly. Analysis and recommendations on representative β of Indonesian buildings have been conducted through hazard analysis and probability-based safety factors by Sidi I.D. (2011). The analysis identified inherent variability of concrete compressive strength and steel reinforcement tension capacity, simplification of actual field conditions representing random phenomena in the design formulation, and random human errors through reliability analysis in the derivation of the fragility function that is considered to be representative for the Indonesian condition. The analysis suggests that b-values for Indonesia is in the range of 0.65 to 0.7. For the development of SNI-1726-2012, a relatively high value of $\beta = 0.7$ was adopted. While for the new proposed code, the representative value was drawn in an experts consensus held in January 2018, agreeing to adopt the value of 0.65.

Considering a site-specific hazard curve corrected by

3.3 Results of RTGM Maps

the directivity factor, $\gamma(a)$, Equation 3 can be derived as follows:

$$P_F = \int_0^{\infty} \gamma(a) \frac{1}{a\beta\sqrt{2\pi}} \exp\left[-\frac{(\ln a - (\ln(\mathrm{RTGM}) + 1.28\beta))^2}{2\beta^2}\right] \mathrm{d}a \qquad (5)$$

According to the equation above, the RTGM can be directly computed through numerical integration and iterative process when the ground motion spectral value, *a*, resulting in a 1% probability of failure, *Pf*, in 50 years as presented in Fig. 3 below. The flow chart below is an enhancement from the work of Sengara, et. al. (2015).



Fig. 3. Flow Chart of RTGM Calculation

A Risk Coefficient is a ratio of RTGM with MCE being corrected by the directivity factor and formulated as follows:

$$C_{RT} = \frac{RTGM_T}{MCE_T * DF_T} \tag{6}$$

where DF is directivity factor, and T denotes the interest spectral period.





Fig. 4. (a) *Ss* Risk-Targeted Maximum Considered Earthquake (MCE_R) Ground Motion Parameter for Indonesia for 0.2 s Spectral Response Acceleration (5% of Critical Damping), Site Class B; (b) *S*₁ Risk-Targeted Maximum Considered Earthquake (MCE_R) Ground Motion Parameter for Indonesia for 1.0 s Spectral Response Acceleration (5% of Critical Damping), Site Class B.

Fig. 4(a) and Fig. 4(b) show RTGM maps for Indonesia at 0.2 s and 1.0 spectral response acceleration, respectively, Site Class B (5% of critical damping). While Fig. 5 shows Risk Coefficient maps of *CRs* (correspond to spectral values at T = 0.2s) and *CR₁* (correspond to spectral values at T = 1.0s).

Evaluating CR_1 values as shown at Fig. 6 (a), it is identified that CR_1 values for most (91.97%, 5870 out of total 6326) of the Indonesian districts are in the range of 0.9 to 1.1 and about 8.02% in the range of 0.7 to 0.9. Like

the CR_1 , the distribution on values of CRs shows 92.81% of the Indonesian districts are in the range of 0.9 to 1.1 and about 9.96% in the range of 0.7 to 0.9. The distribution of CRs value can be seen in Fig. 7 (b). Those percentages are not significantly change with previous study, Sengara et al., 2015. Comparison and changes RTGM and risk coefficient (CR) values (at T = 0.2s and T=1.0s) between SNI-1726-2012 and proposed SNI-1726-2019 for 17 cities in Indonesia shown in Table 1 to Table 2 and Fig. 8 to Fig. 9.





Fig. 5. (a) Map of CR_s (CR value correspond to spectral values at 0.2 second period) and (b) CR_1 (CR value correspond to spectral values at 1.0 second period)



Percentage of Districts versus Value of Cr_s



Fig. 6. (a) Distribution of CR_1 values; (b) Distribution of CR_s values; in percentage (total district: 6326)

4 Revision on Spectral Amplification Factors

Separately from the updates to the RTGM maps, the site coefficients used to adjust the mapped values for soil

properties have also been updated for the SNI-1726-2019. In both the SNI-1726-2012 and SNI-1726-2019, the ground motion maps are for an average shear wave velocity at small shear strains in the upper 30 meters of subsurface below a site, V_{s30} , of 760 m/s. In order to adjust the mapped RTGM (S_S and S₁) and MCE_G (PGA) values to other site classes, the site coefficients F_a, F_v, and F_{PGA} are applied to S_S, S₁, and PGA, respectively.

The RTGM site coefficients (Fa and Fv) have changed as high as 20%, for example, Fv is modified to 1.8 from 1.5 for SD Site Class at $S_1 = 0.5g$; Fa is modified to 1.2 from 1.0 for SC Site Class at $SS \ge 1g$; and Fv is modified to 0.8 from 1.0 for SC Site Class at all values of S_1 . The MCE_G site coefficient (F_{PGA}) has similarly increased by approximately 20% for SC Site Class and PGA ≥ 0.4 g, and has increased by approcimately 20% to 56% for SE Site Class at PGA ≥ 0.25 g. The ratios of updated site coefficient of F_a , F_v , and F_{PGA} in the SNI-1726-2019 divided by those in SNI-1726-2012 are summarized in Fig. 7. Please note that this modification is also under consideration that the referecent suburface rock is currenly specified as BC (Vs=760m/s), whereas previously, it is specified as Site Class B.

Evaluating short-period site coefficient F_a as shown at Table 3, it is identified that coefficient of F_a in SNI-1726-2019 have slightly difference for SE site class at Ss ≥ 1.0 than those in ASCE-7-16, for example, $F_a = 1,1$ and 1,2 for SNI-1726-2019 and ASCE-7-16, respectively. However, similar values is shown for the coefficient of F_v and F_{PGA} in SNI-1726-2019 and ASCE-7-16. These new site amplifications are also under consideration with reference to Kircher and Associates (2015).

	City	Coordinates		PTGM	RTGM		
No		Longitude	Latitude	(g)	(g)	Cr _s	Cr ₁
1	Aceh	95.3	5.5	1.519	0.600	0.994	0.968
2	Medan	98.7	3.6	0.644	0.357	0.998	0.975
3	Padang	100.4	-0.9	1.446	0.600	1.035	1.002
4	Palembang	104.8	-3.0	0.291	0.248	0.987	0.920
5	Jakarta	106.9	-6.2	0.788	0.381	0.987	0.945
6	Bandung	107.6	-6.9	1.194	0.513	0.928	0.912
7	Semarang	110.4	-7.0	0.916	0.392	0.917	0.903
8	Surabaya	112.8	-7.3	0.679	0.307	0.945	0.920
9	Balikpapan	116.8	-1.3	0.121	0.081	0.905	0.935
10	Pontianak	109.3	0.0	0.150	0.050	0.878	0.918
11	Denpasar	115.2	-8.7	0.955	0.399	1.003	0.964
12	Kupang	123.6	-10.2	1.046	0.373	1.008	0.985
13	Makassar	119.4	-5.1	0.230	0.109	0.927	0.965
14	Manado	124.8	1.5	1.058	0.471	1.001	0.992
15	Ambon	128.2	-3.7	1.084	0.391	1.002	0.972
16	Jayapura	140.7	-2.5	1.500	0.629	0.971	0.974
17	Manokwari	134.1	-0.9	1.500	0.600	1.046	0.997

Table 2. Changes in the RTGM and C_R values between SNI-1726-2012 and proposed SNI-1726-2019 for 17 Cities in Indonesia

	City	Coordinates					l
No		Longitude	Latitude	RTGM _{0.2s}	RTGM _{1.0s}	Cr _s	Cr ₁
1	Aceh	95.3	5.5	+18%	+7%	0%	0%
2	Medan	98.7	3.6	+19%	+10%	-1%	-2%
3	Padang	100.4	-0.9	+5%	0%	-5%	-4%
4	Palembang	104.8	-3.0	+11%	+35%	+6%	+1%
5	Jakarta	106.9	-6.2	+14%	+24%	0%	+69%
6	Bandung	107.6	-6.9	-26%	+4%	-5%	-3%
7	Semarang	110.4	-7.0	-9%	+16%	+6%	-3%
8	Surabaya	112.8	-7.3	+3%	+19%	-5%	-2%
9	Balikpapan	116.8	-1.3	-	-	-2%	-3%
10	Pontianak	109.3	0.0	-	-	-7%	0%
11	Denpasar	115.2	-8.7	-2%	+10%	-5%	-7%
12	Kupang	123.6	-10.2	-6%	+22%	-1%	-1%
13	Makassar	119.4	-5.1	-39%	-28%	-22%	-32%
14	Manado	124.8	1.5	+2%	+7%	-4%	-7%
15	Ambon	128.2	-3.7	-18%	-15%	-8%	-9%
16	Jayapura	140.7	-2.5	0%	+5%	-15%	-7%
17	Manokwari	134.1	-0.9	+3%	+10%	-4%	-3%

Furthermore, the parameter T_L was introduced in SNI-1726-2019 to provide more realistic ground motions at periods T > 4 sec that would affect the design of tall buildings. Long period transition, T_L , in this case is marks the transition between the constant velocity and constant displacement segments of the fourier spectrum

representing a theoritical fault-rupture displacement history.

Based on several parameters that have been updated such as RTGM, spectral amplicifaction factors, and T_L parameters, there is quite significant difference between design response spectrum adopting SNI-1726-2012 and SNI-1726-2019 parameters. For example, the design response spectrum of city of Padang is increase from low period (0.2 s) until reaches T_L parameters (20 s). In long period, such 20s, the spectral response acceleration is

decrease compared with SNI-1726-2012. Fig. 8 shown the design response spectrum difference of city of Padang and Jakarta using SNI-1726-2012 and SNI-1726-2019 parameters.

Table 3. Short-Period Site Coefficient, Fa (SNI-1726-2019)								
	Mapped Risk-Targeted Maximum Considered Earthquake (MCE _R)							
Site Class	Spectral Response Acceleration Parameter at Short Period							
	$S_s \leq 0,25$	$S_{s} = 0,5$	$S_s = 0,75$	$S_{s} = 1,0$	$S_s = 1,25$	$S_s \ge 1,5$		
SA	0,8	0,8	0,8	0,8	0,8	0,8		
SB	0,9	0,9	0,9	0,9	0,9	0,9		
SC	1,3	1,3	1,2	1,2	1,2	1,2		
SD	1,6	1,4	1,2	1,1	1,0	1,0		
SE	2,4	1,7	1,3	1,1 (1,2)*	0,9 (1,2)*	0,8 (1,2)*		

Note: *Coefficient in the parentheses () is short-period site coefficient (Fa) of ASCE-7-16

 Table 4. 1-Second Period Site Coefficient, Fv (SNI-1726-2019)

Manual Disk Taugated Maximum Cangidaned Farth angles (MCE)								
Site Class	Mapped Kisk-Targeted Maximum Considered Earthquake (MCER) Spectral Response Acceleration Parameter at 1-s Period							
	$S_{I} \le 0, 1$	$S_{I} = 0,2$	$S_{I} = 0,3$	$S_{I} = 0,4$	$S_{I} = 0,5$	$S_1 \ge 0,6$		
SA	0,8	0,8	0,8	0,8	0,8	0,8		
SB	0,8	0,8	0,8	0,8	0,8	0,8		
SC	1,5	1,5	1,5	1,5	1,5	1,4		
SD	2,4	2,2	2,0	1,9	1,8	1,7		
SE	4.2	3.3	2.8	2.4	2.2	2.0		

Table 5. Site Coefficient FPGA (SNI-1726-2019)

Site Class	PGA ≤ 0,1	PGA = 0,2	PGA = 0,3	PGA = 0,4	PGA = 0,5	PGA ≥ 0,6
SA	0,8	0,8	0,8	0,8	0,8	0,8
SB	0,9	0,9	0,9	0,9	0,9	0,9
SC	1,3	1,2	1,2	1,2	1,2	1,2
SD	1,6	1,4	1,3	1,2	1,1	1,1
SE	2,4	1,9	1,6	1,4	1,2	1,1





Fig. 7. Ratios of the updated site coefficient in SNI-1726-2019 divided by those in SNI-1726-2012 (a) Fa, (b) Fv, and (c) FPGA





Fig. 8. Design Response Spectrum adopting SNI-1726-2012 and SNI-1726-2019 Parameters (a) Padang city and (b) Jakarta city

5 Concluding Remarks

The new 2017 Indonesian seismic hazard map has been revised with the major changes on the revised active fault database including the addition of newly identified active faults, revisions of the locations of previously known active fault traces, as well as improved estimates of maximum magnitudes and slip rates. The significant changes in the new Indonesian MCE_R maps is the determination of the log-normal-standard-deviation (β) and directivity factors. An Indonesian experts consensus was held in January 2018 to changes β value from 0.7 to 0.65, and adopt the directivity coefficient for maximum response of 1.1 and 1.3 for for short and 1-second period, respectively. New Risk-targeted ground motions (RTGM) in the form of MCE_R maps for Indonesia have been developed as spectral response accelerations that represent 1% probability of building collapse in 50 years.

Evaluating the RTGM_{0.2s} values, it is identified that most changes of RTGM_{0.2s} values at several cities in Indonesia in the range of -25.6% to +19.3%. Similar changes is also identified for updated RTGM_{1s} values that is in the range of -15.1% to +23.9% compared with the values in prior to standard code. The highest decrease is identified in the Makassar city with changes of -39.1% for RTGM_{0.2s} value and -28.4% for RTGM_{1s} as high as +35.5% which is identified in Palembang. Results of calculation show that risk coefficients for both spectral periods are more than 90% within the range of 0.9 to 1.1, which is not significantly different from the previous RTGM study.

Values of short-period site coefficient (F_a), longperiod site coefficient (F_v), and PGA site coefficient at proposed SNI-1726-2019 have been updated with partial reference to ASCE 7-16. The updated values of F_a, F_v, and F_{PGA} coefficient are shown in Table 3 to 5. In addition to provide more realistic ground motions at periods T > 4 sec that would affect the design of tall buildings, the parameter T_L was introduced in SNI-1726-2019.

The newly developed RTGM maps and site coefficient have been adopted in the New 2019 Indonesian Seismic Building Codes SNI-1726-2019.

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